

NOV 29 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|---------------------------|-----------------|---------------------------------|
| Applicant: | Martin Brox | Examiner: | Unassigned |
| Serial No.: | 10/585,151 | Group Art Unit: | Unassigned |
| Filed: | June 30, 2006 | Docket No.: | I438.122.101/IF02P045WOUS/JE/sd |
| Title: | VOLTAGE REGULATION SYSTEM | | |

CERTIFICATE OF TRANSMISSION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the following paper(s) are being facsimile transmitted to the U.S. Patent and Trademark Office, Fax. No. (571) 273-8300 on the date shown below:

- 1.) Transmittal Sheet containing Certificate of Facsimile (1 pg.);
- 2.) Information Disclosure Statement (2 pgs.); and
- 3.) PTO Form-1449 (1 pg.).

Date: November 29, 2006
SED: jmc

By: Steven E. Dicke
Name: Steven E. Dicke (Reg. No. 38,431)

5 Pages (including cover page)

NOV 29 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Martin Brox
Serial No.: 10/585,151
Filed: June 30, 2006
Title: VOLTAGE REGULATION SYSTEM

Examiner: Unassigned
Group Art Unit: Unassigned
Docket No.: 1438.122.101/IF02P045WOUS/JE/sd

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing under 37 C.F.R. 1.10
- ☒ Information Disclosure Statement 2 pg(s).
- ☒ Form PTO-1449 1 pg(s).
- ☐ Copy of cited references
- ☐ The Commissioner is authorized to charge \$0.00 to Deposit Account No. 500471 to cover the required fee.
- ☒ Other: Facsimile Cover Sheet (1 pg.)
- ☐ Return Postcard

Customer No. 025281

By Steven E. Dicke
Name: Steven E. Dicke (Reg. No. 38,431)

Please consider this a Petition for Extension of Time for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of this application, please change any additional fees or credit overpayment to Deposit Account No. 500471.

CERTIFICATE OF FACSIMILE TRANSMISSION:Date of Transmission: November 29, 2006

The undersigned hereby certifies that this paper or papers, as described herein, are being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. (571) 273-8300.

Steven E. Dicke

Name: Steven E. Dicke

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NOV 29 2006**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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| Applicant: | Martin Brox | Examiner: | Unassigned |
| Serial No.: | 10/585,151 | Group Art Unit: | Unassigned |
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| Title: | VOLTAGE REGULATION SYSTEM | | |

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. Any required copies of patents, publications or other documents are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicant further requests a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Since this Information Disclosure Statement is being submitted within three months of filing national application; or date of entry of national application; or before the mailing date of the first Office Action on the merits, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Applicant respectfully requests consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicant's representative at the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Any inquiry regarding this communication should be directed to Steven E. Dicke at Telephone No. (612) 573-2002, Facsimile No. (612) 573-2005. In addition, all correspondence should continue to be directed to the following address:

Information Disclosure Statement

Applicant: Martin Brox

Serial No.: 10/585,151

Filing Date: June 30, 2006

Docket: I438.122.101 / IF02P045WOUS/JE/sd

Title: VOLTAGE REGULATION SYSTEM

Dicke, Billig & Czaja, PLLC
Fifth Street Towers, Suite 2250
100 South Fifth Street
Minneapolis, MN 55402

Respectfully submitted,

Martin Brox,

By his attorneys,

DICKE, BILLIG & CZAJA, PLLC
Fifth Street Towers, Suite 2250
100 South Fifth Street
Minneapolis, MN 55402
Telephone: (612) 573-2002
Facsimile: (612) 573-2005

Date: November 29, 2006
SED:jmc

Steven E. Dicke
Steven E. Dicke
Reg. No. 38,431

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that ~~this~~ paper or papers, as described herein, are being transmitted via facsimile to Facsimile No. (571) 273-8300 on this 29 day of November, 2006.

By:

Steven E. Dicke
Name: Steven E. Dicke

NOV 29 2006

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|---|--|------------------------|
| FORM PTO-1449 | Docket No.: 438,122.101 / Fo2P045WOUS/JE/sd | Serial No.: 10/585,151 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S) INFORMATION DISCLOSURE STATEMENT | Applicant: Martin Brox | |
| | Filing Date: June 30, 2006 | Group Art Unit: N/A |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date if Appropriate |
|------------------|--------------|------------|------------------|-------|-----------|----------------------------|
| AA | 5,528,548 | 06-18-1996 | Horiguchi et al. | | | |
| AB | 5,537,073 | 07-16-1996 | Arimoto | | | |
| AC | | | | | | |
| AD | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Class | Sub Class | Translated Yes No (Abstract Only) |
|----|--------------|------|---------|-------|-----------|---|
| AM | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| AN | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
| AO | | | | | | <input type="checkbox"/> <input type="checkbox"/> |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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| AQ | |
| AR | |

EXAMINER: _____ DATE CONSIDERED: _____

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.